

ATTORNEY'S DOCKET NO: C01104/70015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, Frederick M. Morgan, Ihor A. Lys, Michael K. Blackwell,
Alfred Ducharme, Ralph Osterhout, Colin Piepgras, George G. Mueller, and
Dawn Geary
Serial No: 09/805,368
Confirmation No. 1552
Filed: March 13, 2001
For: LIGHT-EMITTING DIODE BASED PRODUCTS
Examiner: Unassigned
Art Unit: 2811

11# / POA

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2800, Customer Service Center, fax number 1-703-872-9317, on the 8th day of March, 2002.

10/29/02

N. Brown

Janine Michalski
Janine Michalski

Commissioner for Patents
Washington, D.C. 20231

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OCT 25 2002

POWER OF ATTORNEY
(REVOCATION OF PRIOR POWERS)

TECHNOLOGY CENTER 2800

As assignee of record of the entire interest of the above-identified application, all powers of attorney previously given are hereby revoked and the following attorneys and/or agents are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Robert M. Abrahamsen	40,886	Jason M. Honeyman	31,624	Edward J. Russavage	43,069
Konstantinos Andrikopoulos	48,915	Robert E. Hunt	39,231	Robert A. Skrivaneck, Jr.	41,316
Eric Amundsen	46,518	Ronald J. Kransdorf	20,004	Alan W. Steele	45,128
John N. Anastasi	37,765	Peter C. Lando	34,654	Mark Steinberg	40,829
Ilan Barzilay	46,540	M. Brad Lawrence	47,210	Joseph Teja, Jr.	45,157
Carolc Boelitz	48,958	Helen C. Lockhart	39,248	Maria A. Trevisan	48,207
Gary S. Engelson	35,128	Matthew B. Lowrie	38,228	John R. Van Amsterdam	40,212
Neil P. Ferraro	39,188	William R. McClellan	29,409	Robert H. Walat	46,324
Thomas G. Field III	45,596	Daniel P. McLoughlin	46,066		
		Chantal Morgan-D'Apuzzo	48,825	Kristin D. Wheeler	43,583
Stephen R. Finch	42,534	James H. Morris	34,681	Lisa E. Winsor	44,405
Edward R. Gates	31,616	Timothy J. Oyer	36,628	David Wolf	17,528
Richard F. Giunta	36,149	Edward F. Perlman	28,105	Douglas R. Wolf	36,971
Lawrence M. Green	29,384	Elizabeth R. Plumer	36,637		
George L. Greenfield	17,756	Michael J. Pomianek	46,190		

Serial No: 09/805,368


- 2 -

Art Unit: 2811

Please address all telephone calls to Richard F. Giunta at telephone no. (617) 720-3500.
Please address all correspondence to:

Richard F. Giunta
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

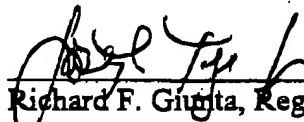
COLOR KINETICS, INC.

By:  March 4, 2002
Name: Kevin J. Dowling
Title: Vice President of Strategy and Technology

ASSIGNEE CERTIFICATION

Attached to this power is a Certificate Under 37 CFR 3.73(b).

Date: 3/7/02


Richard F. Giunta, Reg. No. 36,149
Joseph Teja, Jr., Reg. No. 45,157
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210
(617) 720-3500

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OCT 25 2002

TECHNOLOGY CENTER 2800

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant:	Kevin J. Dowling, et al.	
Application No.:	09/805,368	Filed: March 13, 2001
Entitled:	LIGHT-EMITTING DIODE BASED PRODUCTS	
	COLOR KINETICS, INC.	, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title, and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above, a copy of which is attached hereto.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at

Reel _____ Frame _____ or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at

Reel _____ Frame _____ or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at

Reel _____ Frame _____ or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

March 4, 2002

Date



Signature

Kevin J. Dowling

Typed or Printed Name

Vice President of Strategy and Technology

Title

FAX COPY RECEIVED

OCT 25 2002

TECHNOLOGY CENTER 2800

C1104/7015RFG

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned Kevin J. Dowling, Frederick M. Morgan, Ihor A. Lys, Michael K. Blackwell, Alfred Ducharme, Ralph Osterhout, Colin Piepgras, George G. Mueller, and Dawn Geary hereby

Sell, assign and transfer to Color Kinetics, a Delaware corporation having a place of business at 10 Milk Street, Suite 1100, Boston, MA 02108, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on March 13, 2001 under Serial No. 09/805,368 and entitled LIGHT-EMITTING DIODE BASED PRODUCTS, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

-2-

8/13/01
Date

Kevin Dowling
KEVIN A DOWLING

STATE OF Mass
COUNTY OF Middlesex

Subscribed and sworn to before me this 13 day of August 2001

SEAL

Ed H. H. H.
Notary Public
My Commission Expires 7-26-07

8/13/01
Date

Frederick M. Morgan
FREDERICK M. MORGAN

STATE OF Mass
COUNTY OF Middlesex

Subscribed and sworn to before me this 13 day of August 2001

SEAL

Ed H. H. H.
Notary Public
My Commission Expires 7-26-07

8/23/01
Date

Ihor A. Lys
IHOR A. LYS

STATE OF MA
COUNTY OF Middlesex

Subscribed and sworn to before me this 23 day of August 2001

SEAL

Ed H. H. H.
Notary Public
My Commission Expires 7-26-07

-3-

8/27/01

Date

Michael K. Blackwell
MICHAEL K. BLACKWELL

STATE OF Massachusetts
COUNTY OF Norfolk

Subscribed and sworn to before me this 27 day of August 2001.

SEAL

Ed H. W. [Signature]
Notary Public
My Commission Expires 7-26-07

8/6/01

Date

[Signature]
ALFRED DUCHARME

STATE OF MA
COUNTY OF Norfolk

Subscribed and sworn to before me this 6 day of August.

SEAL

Ed H. W. [Signature]
Notary Public
My Commission Expires 7-26-07

Date

Ralph Osterhout
RALPH OSTERHOUT

STATE OF _____
COUNTY OF _____

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

-4-

8.21.01

Date

Colin Piegras

COLIN PIEGRAS

STATE OF Mass :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 21 day of August 2001.

SEAL

Ed H Hite

Notary Public

My Commission Expires 7-26-07

8-24-01

Date

George G Mueller

GEORGE G MUELLER

STATE OF MA :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 24 day of August 2001.

SEAL

Ed H Hite

Notary Public

My Commission Expires 7-27-07

8/23/01

Date

Dawn Geary

DAWN GEARY

STATE OF MA :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 23 day of August 2001.

SEAL

Ed H Hite

Notary Public

My Commission Expires 7-27-07

C1104/7015RFG

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned Kevin J. Dowling, Frederick M. Morgan, Th r A. Lys, Michael K. Blackwell, Alfred Ducharme, Ralph Osterhout, Colin Piepgras, George G. Mueller, and Dawn Geary hereby

Sell, assign and transfer to Color Kinetics, a Delaware corporation having a place of business at 10 Milk Street, Suite 1100, Boston, MA 02108, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on March 13, 2001 under Serial No. 09/805,368 and entitled LIGHT-EMITTING DIODE BASED PRODUCTS, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

-2-

Date

KEVIN J. DOWLING

STATE OF _____:
COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date

FREDERICK M. MORGAN

STATE OF _____:
COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date

IHOR A. LYS

STATE OF _____:
COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

-3-

Date

MICHAEL K. BLACKWELL

STATE OF _____
COUNTY OF _____

Subscribed and sworn to before me this _____ day of _____

SEAL

Notary Public
My Commission Expires _____

Date

ALFRED DUCHARME

STATE OF _____
COUNTY OF _____

Subscribed and sworn to before me this _____ day of _____

SEAL

Notary Public
My Commission Expires _____

Date

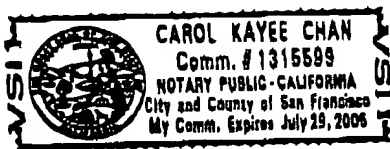
10/30/01



RALPH OSTERHOUT

STATE OF CALIFORNIA
COUNTY OF SAN FRANCISCO

Subscribed and sworn to before me this 30th day of October, 2001

SEAL





Notary Public
My Commission Expires 07-29-05

Date

COLIN PIEPGRAS

STATE OF _____:

COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date

GEORGE G. MUELLER

STATE OF _____:

COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date

DAWN GEARY

STATE OF _____:

COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

ALL-PURPOSE ACKN WLEDGEMENT

FAX COPY RECEIVED

OCT 25 2002

State of California

County of SAN FRANCISCO

} ss.

On 10-30-01
(DATE)

before me,

CAROL KAYEE CHAN

TECHNOLOGY CENTER 2800

(NOTARY)

personally appeared

RALPH FRANK OSTERHOUT

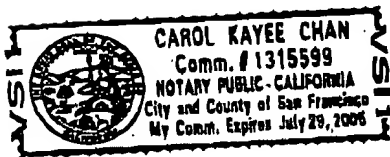
SIGNER(S)

☐ personally known to me

- OR -

☒

proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

NOTARY'S SIGNATURE

OPTIONAL INFORMATION

The information below is not required by law. However, it could prevent fraudulent attachment of this acknowledgment to an unauthorized document.

CAPACITY CLAIMED BY SIGNER (PRINCIPAL)

- ☐ INDIVIDUAL
☐ CORPORATE OFFICER

TITLE(S)

- ☐ PARTNER(S)
☐ ATTORNEY-IN-FACT
☐ TRUSTEE(S)
☐ GUARDIAN/CONSERVATOR
☐ OTHER: _____

DESCRIPTION OF ATTACHED DOCUMENT

TITLE OR TYPE OF DOCUMENT

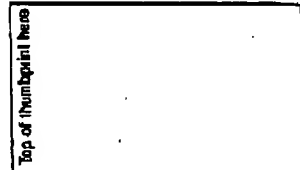
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DATE OF DOCUMENT

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ATTORNEY'S DOCKET NO: C01104/70015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, Frederick M. Morgan, Thor A. Lys, Michael K. Blackwell,
Alfred Ducharme, Ralph Osterhout, Colin Piepgras, George G. Mueller, and
Dawn Geary
Serial No: 09/805,368
Confirmation No. 1552
Filed: March 13, 2001
For: LIGHT-EMITTING DIODE BASED PRODUCTS
Examiner: Unassigned
Art Unit: 2811

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2800, Customer Service Center, fax number 1-703-872-9317, on the 8th day of March, 2002.


Janine Michalski

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

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OCT 25 2002

Transmitted herewith for filing are the following documents:

TECHNOLOGY CENTER 2800

- [X] REQUEST TO CHANGE ATTORNEY DOCKET NUMBER
AND CHANGE OF CORRESPONDENCE ADDRESS
- [X] POWER OF ATTORNEY AND CERTIFICATE UNDER 37 CFR 3.73(b)
WITH ATTACHED COPY OF ASSIGNMENT
- [X] FACSIMILE TRANSMITTAL


If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is needed, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Kevin J. Dowling, et al., Applicants

By


Richard F. Giunta, Reg. No. 36,149
Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. No. (617) 720-3500

Attorney Docket No. C01104/70015
Dated: March 8, 2002
X (NDD)
596548.1

ATTORNEY'S DOCKET NO: C01104/70015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, Frederick M. Morgan, Ihor A. Lys, Michael K. Blackwell,
Alfred Ducharme, Ralph Osterhout, Colin Piegras, George G. Mueller, and
Dawn Geary
Serial No: 09/805,368
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Janine Michalski
Janine Michalski

Commissioner for Patents
Washington, D.C. 20231

**REQUEST TO CHANGE ATTORNEY DOCKET NUMBER
AND CHANGE OF CORRESPONDENCE ADDRESS**

1) Please change the Attorney's Docket Number in the above-identified application as recorded in the Patent and Trademark Office Records.

FROM: CKC-019.01

TO: C01104/70015

2) Please change the Correspondence Address for the above-identified application to:

Customer Number 23628
Richard F. Giunta
Wolf, Greenfield & Sacks, P.C.
Federal Reserve Building
600 Atlantic Avenue
Boston, Massachusetts 02210

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OCT 25 2002

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No fee is enclosed. If any fee is required, it may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,

Kevin J. Dowling, et al., Applicants

By

Richard F. Giunta
Richard F. Giunta, Reg. No. 36,149
Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. No. (617) 720-3500

Attorney Docket No. C01104/70015
Dated: March 8, 2002

**F A X C O V E R**

*****OFFICIAL FAX*****

Date: October 25, 2002 **Number of pages (including cover):** 17**To:** Examiner David Vu, U.S. Patent and Trademark Office**Fax No.:** (703) 308-7722**Serial No.:** 09/805,368**Title:** LIGHT-EMITTING DIODE BASED PRODUCTS**From:** Joseph Teja, Jr.**Direct dial:** 617.573.7849**Our File #:** C01104/70015**FAX COPY RECEIVED**

OCT 25 2002

TECHNOLOGY CENTER 2800

CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of Examiner David Vu, FAX number (703) 308-7722, at the United States Patent and Trademark Office, Washington, D.C. 20231, in accordance with 37 C.F.R. §1.6(d), on the 25th day of October, 2002.


Jarne Michalski

ORIGINAL DOCUMENTS WILL NOT BE MAILED.**MESSAGE:**

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Wolf Greenfield Fax Number: 617.720.2441

Wolf, Greenfield & Sacks, P.C. | 600 Atlantic Avenue | Boston, Massachusetts 02210-2206
617.720.3500 | fax 617.720.2441 | www.wolfgreenfield.com

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UNITED STATES
PATENT AND
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15 (including cover page)

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Wolf Greenfield
SPECIALISTS IN INTELLECTUAL PROPERTY LAW

FAX COVER

Date: **March 8, 2002** Number of pages (including cover): **15**
To: **United States Patent and Trademark Office, Washington, D.C. 20231**
Technology Center 2800
Applicant: **Kern J. Dowling, esq.**
Serial No: **09/805,388**
Confirmation No: **1552**
Filing Date: **MARCH 12, 2001**
Title: **LIGHT-EMITTING DIODE BASED PRODUCTS**
Art Unit: **2831**
Fax Number: **1-703-672-9317**
Number of Pages (including Cover Sheet): **15**

ORIGINAL DOCUMENTS SENT: ☐ 1st Class Mail ☐ Overnight Mail ☐ Air Mail ☒ Not Sent

CERTIFICATION BY FACSIMILE TRANSMISSION

I hereby certify that a Transmittal Letter, a Request to Change Attorney Docket Number and Change of Correspondence Address, and a Power of Attorney and Certificate Under 37 CFR 2.72(b) with attached copy of Amendment, (a total of 15 pages including cover sheet) are being facsimile transmitted to the Commissioner of Patents at the United States Patent and Trademark Office, Technology Center 2800, in accordance with 37 C.F.R. §1.8(f) to fax number 1-703-672-9317 on the date shown below.

Joseph T. Secko

Typed or Printed Name of Person: **Joseph T. Secko** Date: **March 8, 2002**
Signature: *[Signature]*

This transmission contains confidential information intended for use only by the above-named recipient. Reading, discussion, distribution, or copying of this message is strictly prohibited by anyone other than the named recipient, or his or her employees or agents. If you have received this fax in error, please immediately notify us by telephone (preferred), and return the original message to us at the same address via the U.S. Postal Service.

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WCSECK File Number: **CD110670015**

IF YOU DID NOT RECEIVE ALL OF THE PAGES OF THIS TRANSMISSION OR IF ANY OF THE PAGES ARE ILLEGIBLE, PLEASE CALL (617) 720-2441 IMMEDIATELY.

Wolf Greenfield & Secko, P.C.

Wolf, Greenfield & Secko, P.C. | 500 Atlantic Avenue | Boston, Massachusetts 02110-2206
617.720.2441 | fax 617.720.2441 | www.wolfgreenfield.com
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Received from 617 720 2441 (1) on 03/08/02 5:15:52 PM (Eastern Standard Time)

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F A X C O V E R

Date: March 8, 2002 **Number of pages (including cover):** 15
To: United States Patent and Trademark Office, Washington, D.C. 20231
 Technology Center 2800
Applicant: Kevin J. Dowling, et al.
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Art Unit: 2811
Fax Number: 1-703-872-9317
Number of Pages (including Cover Sheet): 15

FAX COPY RECEIVED

OCT 25 2002

ORIGINAL DOCUMENTS SENT: ☐ 1st Class Mail ☐ Overnight Mail ☐ Air Mail ☒ Not Sent TECHNOLOGY CENTER 2800

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that a Transmittal Letter, a Request to Change Attorney Docket Number and Change of Correspondence Address, and a Power of Attorney and Certificate Under 37 CFR 3.73(b) with attached copy of Assignment, (a total of 15 pages including cover sheet) are being facsimile transmitted to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2800, in accordance with 37 C.F.R. §1.6(d) to fax number 1-703-872-9317 on the date shown below.

Joseph Teja, Jr.

Typed or Printed Name of Person
 Signing Certification

Date: March 8, 2002

This transmission contains confidential information intended for use only by the above-named recipient. Reading, discussion, distribution, or copying of this message is strictly prohibited by anyone other than the named recipient, or his or her employees or agents. If you have received this fax in error, please immediately notify us by telephone (collect), and return the original message to us at the above address via the U.S. Postal Service.

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W lf, Greenfield & Sacks, P.C. | 600 Atlantic Avenue | Boston, Massachusetts 02210-2206

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